

11A 3916 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WILLIAM D. RIEKEN et al.

International Application No. PCT/JP2004/014121

International Filing Date: 21 September 2004

For: AIRCRAFT HAVING A RING-SHAPED  
WING STRUCTURE

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicant for the above-identified application, which is now entering the U.S. National Stage pursuant to 35 U.S.C. Section 371, hereby submits legible copies of the following relevant arts along with the Form PTO-1449 which contains the information required by 37 CFR 1.98(b):

- A. U.S. Patent No. 5,520,355 issued to Jones on May 28, 1996;
- B. U.S. Patent No. 4,196,877 issued to Mutrux on April 8, 1980;
- C. French Patent Application Publication No. 1 444 868 published in France on October 5, 1966;
- D. German Patent Application Publication No. 27 18 178 published in Germany on November 2, 1978;
- E. German Patent Application Publication No. 44 28 129 published in Germany on February 15, 1996;
- F. U.S. Patent No. 5,899,410 issued to Garrett on May 4, 1999;
- G. GB Patent Application Publication No. 210 published in Great Britain on September 29, 1910; and

H. U.S. Patent No. 4,365,773 issued to Wolkovitch on December 28, 1982.

The above-listed prior art A-H are cited in the International Search Report issued by the European Patent Office to the above-identified International Application. Though these prior art C-E cited in the Search Report are not in the English language, the Search Report (a copy thereof enclosed) is written in English and the degree of relevance is indicated therein by way of the markings of Xs and Ys along with the explanation of such markings. Accordingly, pursuant to MPEP Section 609, the requirement for a concise explanation of relevance pursuant to 37 CFR 1.98(a)(3) for each of these non-English language prior art is satisfied, and an English concise explanation thereof is omitted herein.

In view of the above, it is therefore respectfully requested that the Patent Office make a record of these relevant arts and consider them.

Accordingly, it is hereby respectfully requested that the Patent Office make a record of these relevant art and consider them during the examination.

Respectfully submitted,

KODA & ANDROLIA

Dated: September 29, 2006

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10/594979  
IAP2 Rec'd PCT/PTO 29 SEP 2006

FORM PTO-1449		Docket Number (Optional) 11A 3916 PCT		Application Number --		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant William D. Rieken et al.				
(Use several sheets if necessary)		Filing Date --		Group Art Unit --		
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,520,355	5/28/1996	Jones			
	4,196,877	4/8/1980	Mutrux			
	5,899,410	5/4/1999	Garrett			
	4,365,773	12/28/1982	Wolkovitch			
<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	FR 1 444 868	10/5/1966	France			
	DE 27 18 178	11/2/1978	Germany			
	DE 44 28 129	2/15/1996	Germany			
	GB 210	9/29/1910	Great Britain			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
EXAMINER		DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.						